# HOW TO APPLY FOR PAID FAMILY LEAVE

#### **STEP 1: COMPLETE FORM PFL-1**



- ☐ Complete PFL-1, Part A.
- ☐ Provide PFL-1 to employer.
- ☐ Employer completes PFL-1, Part B and returns to you within 3 days.







#### STEP 2: COLLECT SUPPORTING DOCUMENTATION



### BOND

TO BOND WITH A NEWLY BORN, ADOPTED, OR FOSTERED CHILD

#### **Complete Form PFL-2**

☐ Complete PFL-2 and collect supporting documentation.



OR

#### **CARE**

TO CARE FOR A FAMILY MEMBER WITH A SERIOUS HEALTH CONDITION

#### **Complete Form PFL-3**

☐ Care recipient completes PFL-3 and provides to health care provider. Care recipient's health care provider keeps PFL-3 on file.

#### **Complete Form PFL-4**

☐ Complete "Employee" information at the top of PFL-4. Provide PFL-4 to care recipient's health care provider. Care recipient's health care provider completes PFL-4 and returns to you.



OR

#### **ASSIST**

TO ASSIST FAMILY MEMBERS DUE TO ANOTHER FAMILY MEMBER'S ACTIVE MILITARY DUTY OR IMPENDING ACTIVE DUTY ABROAD

#### **Complete Form PFL-5**

☐ Complete PFL-5 and collect supporting documentation.





## 1

#### **STEP 3: SEND FORMS AND DOCUMENTS**



- □ Send completed forms and supporting documentation to insurance carrier at the address provided in the *PFL-1 Form* Part B, Question 13 (the section your employer completed), or directly to your employer if they are self-insured.
- ☐ Insurance carrier accepts or denies claim within 18 days.
- ☐ You do not need to wait for this decision to start your leave.

Please keep a copy of all pages for your records.

For more information, forms, and instructions, visit www.ny.gov/PaidFamilyLeave or call (844) 337-6303.

## Request For Paid Family Leave (Form PFL-1) Instructions

- To request PFL, the employee requesting PFL must complete Part A of the Request For Paid Family Leave (Form PFL-1). All items on the form are required unless noted as optional. The employee then provides the form to the employer to complete Part B.
- The employer completes Part B of the Request For Paid Family Leave (Form PFL-1) and returns it to the employee within three days.
- Additional forms are required depending on the type of leave being requested. The employee requesting leave is responsible for the completion of these forms.
- The employee submits the completed Request For Paid Family Leave (Form PFL-1) with the required additional form to the employer's PFL insurance carrier listed on Part B of Request For Paid Family Leave (Form PFL-1). The employee should retain a copy of each submitted form for their records.

#### **PART A - EMPLOYEE INFORMATION** (to be completed by the employee)

The employee requesting PFL must complete all required information.

#### Paid Family Leave (PFL) Request (to be completed by the employee)

Question 12: A child is defined as a biological, adopted. or foster son or daughter, a stepson or stepdaughter, a legal ward, a son or daughter of a domestic partner, or the person to whom the employee stands in loco parentis. A parent is defined as a biological, foster, or adoptive parent, parent-in-law, a stepparent, a legal guardian, or other person who stood in loco parentis to the employee when the employee was a child.

Questions 13: If dates are "Continuous", the employee must provide the start and end dates of the requested PFL. These dates should be the actual dates that the PFL will begin and end. If uncertain, estimate the start and end dates and indicate "Dates are estimated". If dates are "Periodic", enter the dates PFL will be taken. Please be as specific as possible. If the dates are unknown or estimated, indicate "Dates are estimated".

If dates are estimated, the PFL carrier may require you to submit a request for payment after the PFL day is taken. Payment for approved claims will be due as soon as possible but in no event more than 18 days from the date of the completed request.

Question 14: If the employee is submitting the PFL request to their employer with less than 30 days' advance notice from the start date of the PFL, the employee must explain why 30 days' notice could not be given. If the explanation will not fit in the space provided on the form, enter "See Attached" and add an attachment with the explanation. Be sure to include the employee's full name and their date of birth at the top of the attachment.

#### **Employment Information** (to be completed by the employee)

Question 16: Enter the date of hire to the best of the employee's recollection. If it has been more than a year since the date of hire, entering the year in which employment started is sufficient.

Question 18: Enter the best estimate of average gross weekly wage. Include only the wages earned from the employer listed on this request form. The gross weekly wage is the total weekly pay - including overtime, tips, bonuses and commissions - before any deductions are made by the employer, such as federal and state taxes. If the employer is not able to supply this information, the employee can calculate their gross weekly wage as follows:

Step 1: Add all gross wages received (before any deductions) over the last eight weeks prior to the start of PFL, including overtime and tips earned. (See Step 3 for instructions for calculating bonuses and/or commissions.)

Step 2: Divide the gross wages calculated in step one by eight (or the number of weeks worked if less than eight) to calculate the average weekly wage.

Step 3: If the employee received bonuses and/or commissions during the 52 weeks preceding PFL, add

the prorated weekly amount to the average weekly wage. To determine the prorated weekly amount, add all bonuses/commissions earned in the preceding 52 weeks and then divide by 52.

Example of a gross weekly wage calculation:

Week 1 - Gross wage including overtime		\$550	
Week 2 - Gross wage		\$500	
Week 3 - Gross wage		\$500	
Week 4 - Gross wage		\$500	
Week 5 - Gross wage		\$500	
Week 6 - Gross wage		\$500	
Week 7 - Gross wage, including overtime		\$600	
Week 8 - Gross wage, including overtime	+	\$550	
Total =		\$4,200	
Divide by 8	÷	8	
Average Weekly Wage =	-	\$525	
Bonus earned in preceding 52 weeks		\$2,600	
Divide by 52	÷	52	
Prorated Weekly Bonus =		\$50	
Form PFL-1 Instructions continued on next page			

#### PART A - EMPLOYEE INFORMATION (to be completed by the employee) - continued from prior page

#### Form PFL-1 Instructions continued from prior page

Average Weekly Wage \$525 Prorated Weekly Bonus \$50 \$575

Average Weekly Wage (including bonus) =

Please note that the employer is also required to provide this information in Part B of the Request For Paid Family Leave (Form PFL-1).

If you are pre-submitting form: Indicate if the employee is pre-submitting their PFL request. Pre-submitting is defined as submitting the application in advance of an upcoming qualifying event, with certain required information missing due to the information being unknown at the time of the submitting. If pre-submitting is permitted by the carrier

or self-insured employer, the missing information must be supplied as soon as it is known. Benefits cannot be determined until all of the required information is provided.

The PFL insurance carrier or self-insured employer will provide the employee a notice within five days which 1) states the claim is pending; 2) identifies what information is missing; 3) instructs how to submit the missing information. Once all information is supplied, the PFL insurance carrier or self-insured employer has 18 days to pay or deny the claim.

If the carrier or self-insured employer does not permit presubmitting, the carrier or self-insured employer must return the Request for Paid Family Leave within five days to the employee with an explanation that the claim should be resubmitted when all information is available.

Employee signs and dates, before giving this form to their employer to complete Part B.

#### PART B - EMPLOYER INFORMATION (to be completed by the employer)

The employer of the employee requesting PFL must complete all information in Part B.

Question 2: If a Social Security Number is used for the Federal Employer Identification Number (FEIN), enter the Social Security Number.

Question 3: Enter the employer's Standard Industrial Classification (SIC) Code. Contact your carrier if you don't know your SIC code.

Question 8: The employee occupation code can be found at: www.bls.gov/soc/2018/major\_groups.htm

Question 9: Enter the wages earned by the employee during the last eight weeks preceding the PFL start date. The gross amount paid is the employee's gross weekly pay, including any overtime and tips earned for that week, plus the weekly prorated amount of any bonus or commission received during the preceding 52 weeks. (For detailed steps, see Question 18 starting on page 1 of the instructions.) Calculate the gross average weekly wage by adding up the gross amounts paid, and then divide by eight (or number of weeks worked if less than eight).

Question 10: Failure to select "Yes" for requesting reimbursement from the insurance carrier, will result in a waiver of the right to reimbursement.

Question 11a: 'Disability' refers to NYS statutory required disability. If the answer is "none," enter a "0" for total weeks and days in Question 12b.

Question 11b: The maximum number of weeks available for NYS statutory disability and PFL in any 52 week period is 26 weeks. Specify the total number of weeks, as well as the number of additional days if the leave includes a partial week, taken for NYS statutory disability and PFL during the preceding 52 weeks.

Question 13, 14 & 15: Enter the Paid Family Leave or Disability/PFL insurance carrier's name, address and PFL policy number. If this employer is self-insured, enter the name and address of where the PFL request should be submitted for processing.

Affirmation employee is eligible for PFL: An employee who regularly works 20 hours or more per week must have been in employment for at least 26 consecutive weeks. An employee who regularly works less than 20 hours per week must have worked 175 days.

Employer signs and dates, and then returns to the employee requesting PFL within three business days.

Be sure to complete the appropriate additional PFL form(s) based on the type of PFL leave being requested.

Notification Pursuant to the New York Personal Privacy Protection Law (Public Officers Law Article 6-A) and the Federal Privacy Act of 1974 (5 USC 552a).

The Workers' Compensation Board's (Board's) authority to request that employees provide personal information, including their social security number or tax identification number, is derived from the Board's administrative authority under Workers' Compensation Law section 142. This information is collected to assist the Board in investigating and administering claims in the most expedient manner possible and to help it maintain accurate records. Providing your social security number or tax identification number to the Board is voluntary. The Board will protect the confidentiality of all personal information in its possession, disclosing it only in furtherance of its official duties and in accordance with applicable state and federal law.



# **Request For Paid Family Leave**

(Form PFL-1)

INSTRUCTIONS INCLUDED WITH FORM

PART A - EMPLOYEE INFORMATION (to be completed by the employee)				
Employee's legal name (first name, middle initial, last name)				
			Optional (for research purposes)	
2.	Other last names, if any, under which employee	has worked	Employee's ethnicity/race     For purposes of health demographic only. (U.S. Centers for Disease Control and Prevention (CDC) code set, version	
3.	Employee's mailing address	Is employee of Hispanic, Latino/a, or Spanish ori (One or more categories may be selected.)	gin?	
	Street address		Mexican	
			Mexican American	
City, State			Chicano/a	
			Puerto Rican	
	Zip code Country (if not U.S.A.)		Dominican	
			Cuban	
	Foundation of Control		Another Hispanic, Latino/a, or Spanish origin	
•	Employee's Social Security Number or TIN		Not of Hispanic, Latino/a, or Spanish origin	
			Unknown	
j.	Employee's date of birth (MM/DD/YYYY)		What is employee's race?	
			(One or more categories may be selected.)	
			American Indian or Alaska Native	
	Employee's primary telephone number		Black or African American	
	(		Asian Indian	
			Chinese	
7. Employee's preferred email address while on PFL (if available)		PFL (if available)	Filipino	
		Japanese		
	Employee's gondon		Korean	
•	Employee's gender  Male Female Not designated/Other		Vietnamese	
	Male Female Not designated/Other		Other Asian	
	Employee's preferred language		White	
	English Español Русский	Polski	Native Hawaiian	
	中文 Italiano Kreyòl ayis	yen한국어	Guamanian or Chamorro	
	Other		Samoan	
			Other Pacific Islander	
			Other race	
P	Paid Family Leave (PFL) Request (to be co	mpleted by the e	mployee)	
11. Reason for PFL request: Bond with child Care for family member Military qualifying event				
12. The family member is employee's:				
Child Spouse Domestic partner Parent Parent-in-law Grandparent Grandchild				
			Form PFL-1 continued on no	ext pa

TO BE COMPLETED BY Employee's name (fin	THE EMPLOYEE  rst name, middle initial, last name)  Employee's date of birth (MM/DD/YYYY)
PART A - EMPLOY	<b>/EE INFORMATION</b> (to be completed by the employee) - continued from prior page
Form PFL-1 continued fr	
13. Will PFL be for a	a continuous period of time and/or periodic?
Continuous	PFL start date (MM/DD/YYYY)  PFL end date (MM/DD/YYYY)  Dates are estimated
	Identify dates periodic PFL will be taken:
Periodic	
14. If providing less	s than 30 day's advance notice to the employer, please explain:
Employment Info	weeting (to be completed by the ampleyer)
	ormation (to be completed by the employee)
15. Business name	
16. Employee's date	e of hire (MM/DD/YYYY)
17. Employee's wor	rk location
Street address	
City, State	Zip code Country (if not U.S.A.)
18. Employee's ave	erage gross weekly wage (This data will be requested of both employee and employer)
	phone number for contact regarding this request ( )
20a. Does employee	e have more than one employer? Yes No
20b. If yes, is emplo	byee taking PFL from the other employer?
21. Is employee cur	rrently receiving Workers' Compensation Lost Wage Benefits? Yes No
Disclosure statement: Inf	formation regarding PFL benefits received by the employee, such as payments received and types of leave, will be provided to the employer.
Declaration and sign	nature
any materially false inform	y and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing nation, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, I also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.
	uest for paid family leave benefits under the NYS Workers' Compensation Law. My signature affirms that the information I am rate to the best of my knowledge and belief.
Employee's signature	Date signed (MM/DD/YYYY)
l am submitting this f	form in advance (see instructions about pre-submitting). I understand the insurance carrier will contact me to advise how to submit the
required missing info	

		TED BY THE EMPLOYEE  name (first name, middle initial, last na	ame) E	mployee's date of birth (MM/DD/YYYY)			
PA	RT B - EI	MPLOYER INFORMATION (t	o be completed by the	e employer)			
1.	. Business's full legal name and mailing address  Business name						
	Mailing add	ing address					
	City, State		Zip cc	ode Country (if not U.S.A.)			
2.	Employer	's FEIN -					
3.	Employer	's Standard Industrial Classifi	cation (SIC) Code				
4.	Employer	's contact name for questions	related to PFL				
5.	Employer	's contact telephone number	( )	-			
6.	s. Employer's contact email address						
7.	Employee	e's date of hire (MM/DD/YYYY)	1 1				
8.	Employee	e's occupation Codes are available	at: www.bls.gov/soc/2018/ma	ajor groups.htm -			
9.	Enter the	last 8 weeks of gross wages for	or the employee and c	alculate the average gross weekly wage			
	Week no.	Week ending date (MM/DD/YYYY)	Number of days worked	Gross amount paid			
	1						
	2						
	3						
	4						
	5						
	6						
	7						
	8						
		Calculated average gross <u>we</u>	e <b>ekly</b> wage:				
10.	If employ	ee received or will receive full wa	ges while on PFL, will er	mployer be requesting reimbursement? Yes No			

		SY THE EMPLOYEE (first name, middle initial,	last name)	Employee's date of bi	rth (MM/DD/YYYY)
PAR	TB-EMPLO	OYER INFORMATION	<b>DN</b> (to be completed	by the employer) - contin	ued from prior page
Form I	PFL-1 continued	from prior page			
11a.	In the precedi	ng 52 weeks has the e	mployee taken leave for	: NYS Disability PFL	Both Disability and PFL None
11b.	Enter the tot	al number of weeks	and days taken for bo	th Disability and PFL in th	ne last 52 weeks:
	Disability:	Weeks	Please provide specific da	ates for Disability:	
	Disability.	Days			
		Weeks	Please provide specific da	ates for PFL:	
	PFL:	Days			
	PFL insurance carrier's name  Mailing address				
(	City, State			Zip code	Country (if not U.S.A.)
14. PFL insurance carrier's telephone number ( ) - 15. PFL policy number  Declaration and signature					
I	affirm the em	oployee regularly wo			employment for at least 26 k and has worked at least 175 days.
Any pe	erson who knowir aterially false info	ngly and with intent to defra	aud any insurance company e purpose of misleading, info	or other person files an application	n for insurance or statement of claim containing erial thereto, commits a fraudulent insurance act, alue of the claim for each such violation.
		zed to sign as the employed ded is true and accurate.	er of the employee requesting	g PFL. My signature affirms that to	o the best of my knowledge and belief, the
Employ	yer's authorized s	signature		Date signed (MM/DD/YYYY)	
Title					

## Military Qualifying Event (Form PFL-5) Instructions

If an employee is requesting PFL because of a family member's covered active military duty or impending covered active duty, the employee must submit the *Military Qualifying Event (Form PFL-5)* with the *Request For Paid Family Leave (Form PFL-1)*.

The employee must identify the family member, provide a copy of the member's covered active duty orders or impending active duty orders, and describe the reason leave is being requested.

#### MILITARY QUALIFYING EVENT (to be completed by the employee)

The employee requesting PFL must complete all applicable requested information.

Employee enters their name, date of birth, other last names, if any, under which they have worked, Social Security or Taxpayer Identification Number (TIN) number, and mailing address at the top of page 1.

Employee enters their name and date of birth at the top of page 2.

Questions 1-5: Enter the military member's information, and indicate the military member's relationship to the employee.

**Question 5:** A child is defined as a biological, adopted, or foster son or daughter, a stepson or stepdaughter, a legal ward, a son or daughter of a domestic partner, or the person to whom the employee stands in loco parentis. A parent is defined as a biological, foster, or adoptive parent, parent-in-law, a stepparent, a legal guardian, or other person who stood in loco parentis to the employee when the employee was a child.

Question 6: Enter dates of expected military covered active duty.

**Question 7:** Documentation that shows that the military member is on covered active duty or has been notified of an impending call or order to covered active duty is required and must be attached to this form. Select the type of documentation that is attached from the list below.

Required documentation includes one of the following:

- · Covered active duty orders; OR
- · Letter from the military unit documenting impending call or order to covered duty; OR
- Documentation of military leave signed by the approving authority for military member's Rest and Recuperation.

#### Qualifying Reason for Leave (to be completed by the employee)

Question 8: Explain the need for PFL because of the Military Qualifying Event. For example: "My spouse was just called on short notice to covered active duty status, and will be deployed to (country) in five days. I need to take PFL to be with them and make arrangements for while they are away on active duty." If the explanation will not fit in the space provided on the form, enter "See Attached" and add an attachment with the explanation. Be sure to include the employee's full name, date of birth, other last names, if any, under which they have worked, Social Security or Taxpayer Identification Number (TIN) number, and mailing address at the top of the attachment.

**Question 9:** Include one or more of the qualifying supporting documents:

- Meeting announcement for informational briefing sponsored by the military; or
- Document(s) confirming an appointment with a school official, doctor, attorney or financial advisor; or
- Copy of a bill for services for the handling of legal or financial affairs.

Notification Pursuant to the New York Personal Privacy Protection Law (Public Officers Law Article 6-A) and the Federal Privacy Act of 1974 (5 USC 552a).

The Workers' Compensation Board's (Board's) authority to request that employees provide personal information, including their social security number or tax identification number, is derived from the Board's administrative authority under Workers' Compensation Law section 142. This information is collected to assist the Board in investigating and administering claims in the most expedient manner possible and to help it maintain accurate records. Providing your social security number or tax identification number to the Board is voluntary. The Board will protect the confidentiality of all personal information in its possession, disclosing it only in furtherance of its official duties and in accordance with applicable state and federal law.



# **Request For Paid Family Leave** Military Qualifying Event (Form PFL-5)

INSTRUCTIONS INCLUDED WITH FORM

TO BE COMPLETED BY THE EMPLOYEE		
Employee's name (first name, middle initial, last name)	Employee's date of birth (MM/DD/YYYY)	
Other last names, if any, under which employee has worked	Employee's Social Security Number or TIN	
Employee's mailing address		
Mailing address		
City, State	Zip code Country (if not U.S.A.)	
MILITARY QUALIFYING EVENT (to be completed by the e	employee)	
<ol> <li>Name of military member on covered active duty or imper deployment) (first name, middle initial, last name)</li> </ol>	iding call to covered active duty status (international	
2 Military mambaya data of hirth /MM/DDN/VVV		
2. Military member's date of birth (MM/DD/YYYY)		
3. Military member's gender Male Female Mot des	signated/Other	
4. Military member's mailing address		
Mailing address		
City, State	Zip code Country (if not U.S.A.)	=
City, State	Country (if not 0.3.A.)	
5. The above-named military member is employee's: Spo	ouse Domestic partner Child Parent	
6. Period of military member's covered active duty (MM/DD/YY	'YY)	
/		
7. Please select one of the following and attach the indicated covered active duty or impending call or order to covered		
Covered active duty orders Letter of impending call or order to co	overed duty Documentation of military leave signed by the approving authority for military member's Rest and Recuperation	
Qualifying Reason For Leave (to be completed by the en	mployee)	
8. What is the reason employee is requesting PFL? (One or mo	ore reasons may be selected.)	
	mber's representative before a federal, state, or local agency for purpose of	
Arranging for parental care	or appealing military service benefits	
Courseling	ponsored by the military or military service organizations	
Making financial arrangements Other		
Making legal arrangements		
	Form PFL-5 continued on next pa	age

#### FORM PFL-5 - CONTINUED FROM PRIOR PAGE

TO BE COMPLETED BY THE EMPLOYEE				
Employee's name (first name, middle initial, last name)	Employee's date of birth (MM/DD/YYYY)			
MILITARY QUALIFYING EVENT (to be completed by the en	nployee) - continued from prior page			
Form PFL-5 continued from prior page				
9. Written documentation supporting this request for leave is	available and attached?			
Yes No None Available				
Tes Note Available				
	e due to a qualifying event includes any available written documentation which			
supports the need for leave; such documentation may include a copy of a med				
document confirming the military member's Rest and Recuperation leave; a d school official, or staff at a care facility; or a copy of a bill for services for the h	0 11			
party, the employee must provide the supporting documentation of the meetin				
individual or entity with whom you are meeting (i.e., either telephone number, fax number, or email address of the individual or entity).				
Declaration and signature				
Any person who knowingly and with intent to defraud any insurance company or c				
any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act,				
which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.				
I am hereby making a request for paid family leave benefits under the NYS Workers' Compensation Law. My signature affirms that the information I am				
providing is true and accurate to the best of my knowledge and belief.				
Employee's signature				
	Date signed (MM/DD/YYYY)			

TO BE COMPLETED BY THE EMPLOYEE	
Employee's name (first name, middle initial, last name)	Employee's date of birth (MM/DD/YYYY)
Other last names, if any, under which employee has worked	Employee's Social Security Number or TIN
Employee's mailing address	
Mailing address	
City, State	Zip code Country (if not U.S.A.)
QUALIFYING REASON FOR LEAVE - DOCUMENTAT	TION
ndividual or entity). The reason for a meeting can include: arranging for ch	re meeting (i.e., either the telephone number, fax number or email address of the hild or parental care, counseling, making financial or legal arrangements, acting as the r purposes of obtaining, arranging or appealing military service benefits, or attending
Please submit this documenta	tation for each required meeting/event.
Name of individual with whom employee is meeting	
Title	
Organization	
Telephone number (provide area or country code)	
Fax number (provide area or country code)	
Email address	
Mailing address	
Mailing address	
City, State	Zip code Country (if not U.S.A.)
Describe nature of meeting. Include dates, if known:	